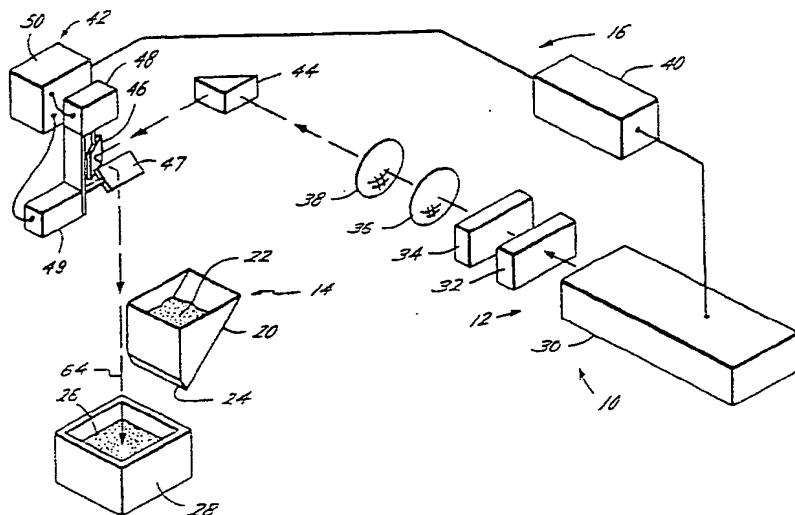




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(54) Title: METHOD AND APPARATUS FOR PRODUCING PARTS BY SELECTIVE SINTERING



(57) Abstract

A method and apparatus (10) for selectively sintering a layer of powder to produce a part comprising a plurality of sintered layers. The apparatus includes a computer (40) controlling a laser (12) to direct the laser energy onto the powder to produce a sintered mass. The computer either determines or is programmed with the boundaries of the desired cross-sectional regions of the part. For each cross-section, the aim of the laser beam is scanned over a layer of powder and the beam is switched on to sinter only the powder within the boundaries of the cross-section. Powder is applied and successive layers sintered until a completed part is formed. The powder may consist of a plastic, metal, ceramic, or polymer substance. In the preferred embodiment, the aim of the laser is directed in a continuous raster scan and the laser turned on when the beam is aimed within the boundaries of the particular cross-section being formed. Preferably, the powder dispensing mechanism includes a drum which is moved horizontally across the target area and counter-rotated to smooth and distribute the powder in an even layer across the target area. A downdraft system provides controlled temperature air flow through the target area to moderate powder temperature during sintering.

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1

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**METHOD AND APPARATUS FOR PRODUCING
PARTS BY SELECTIVE SINTERING**

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This invention relates to a method and apparatus which uses a directed energy beam to selectively sinter a powder to produce a part. In particular, this invention relates to a computer aided laser apparatus which sequentially sinters a plurality of powder layers to build the desired part in a layer-by-layer fashion. One aspect of the present invention is directed towards a mechanism for dispensing a layer of powder and a mechanism for directing air flow to the target area to moderate powder temperature.

The economies associated with conventional part production methods are generally related directly to the quantity of parts to be produced and the desired material characteristics of the finished parts. For example, large scale manufacture casting and extrusion techniques are often cost effective, but these production methods are generally unacceptable for small quantities - i.e.

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-2-

replacement parts or prototype production. Many such conventional part production methods require expensive part specific tooling. Even powder metallurgy requires a die for shaping the powder, making powder metallurgy 5 unattractive as a method for producing a small number of parts.

Where only a small number of parts are desired, conventional production methods involving a subtractive 10 machining method are usually used to produce the desired part. In such subtractive methods, material is cut away from a starting block of material to produce a more complex shape. Examples of subtractive machine tool methods include: milling, drilling, grinding, lathe cutting, flame cutting, electric discharge machine, etc. 15 While such conventional machine tool subtractive methods are usually effective in producing the desired part, they are deficient in many respects.

First, such conventional machine tool subtractive 20 methods produce a large amount of waste material for disposal. Further, such machine tool methods usually involve a large initial expense for setting up the proper machining protocol and tools. As such, the set-up time is 25 not only expensive, but relies a great deal on human judgment and expertise. These problems are, of course, exacerbated when only a small number of parts are to be produced.

Another difficulty associated with such conventional 30 machining techniques involves tool wear - which not only involves the cost of replacement, but also reduces machining accuracy as the tool wears. Another limit on the accuracy and tolerance of any part produced by conventional 35 machining techniques is the tolerance limits inherent in the particular machine tool. For example, in

a conventional milling machine or lathe, the lead screws and ways are manufactured to a certain tolerance, which limits the tolerances obtainable in manufacturing a part on the machine tool. Of course, the tolerances attainable
5 are reduced with age of the machine tool.

The final difficulty associated with such conventional machine tool subtractive processes is the difficulty or impossibility of making many part configurations.
10 That is, conventional machining methods are usually best suited for producing symmetrical parts and parts where only the exterior part is machined. However, where a desired part is unusual in shape or has internal features, the machining becomes more difficult and quite often, the
15 part must be divided into segments for production. In many cases, a particular part configuration is not possible because of the limitations imposed upon the tool placement on the part. Thus, the size and configuration of the cutting tool do not permit access of the tool to
20 produce the desired configuration.

There are other machining processes which are additive, for example, plating, cladding, and some welding processes are additive in that material is added to a
25 starting substrate. In recent years, other additive-type machining methods have been developed which use a laser beam to coat or deposit material on a starting article. Examples include U.S. Patent Nos. 4,117,302; 4,474,861; 4,300,474; and 4,323,756. These recent uses of lasers
30 have been primarily limited to adding a coating to a previously machined article. Often such laser coating methods have been employed to achieve certain metallurgic properties obtainable only by such coating methods. Typically, in such laser coating methods the starting
35 article is rotated and the laser directed at a fixed loca-

tion with the coating material sprayed onto the article so that the laser will melt the coating onto the article.

The problems outlined above are in large major solved by the method and apparatus of the present invention. The present invention includes a directed energy beam - such as a laser - and is adaptable to produce almost any three dimensional part. The method of the present invention is an additive process, with the powder being dispensed into a target area where the laser selectively sinters the powder to produce a sintered layer. The invention is a layer-wise process in which the layers are joined together until the completed part is formed. The method of the present invention is not limited to a particular type of powder, but rather is adaptable to plastic, metal, polymer, ceramic powders, or composite materials.

Broadly speaking, the apparatus includes a laser or other directed energy source which is selectable for emitting a beam in a target area where the part is produced. A powder dispenser system deposits powder into the target area. A laser control mechanism operates to move the aim of the laser beam and modulates the laser to selectively sinter a layer of powder dispensed into the target area. The control mechanism operates to selectively sinter only the powder disposed within defined boundaries to produce the desired layer of the part. The control mechanism operates the laser to selectively sinter sequential layers of powder, producing a completed part comprising a plurality of layers sintered together. The defined boundaries of each layer correspond to respective cross-sectional regions of the part. Preferably, the control mechanism includes a computer - e.g. a CAD/CAM system - to determine the defined boundaries for each layer. That is, given the overall dimensions and configuration of the part, the computer determines the defined boundaries for

each layer and operates the laser control mechanism in accordance with the defined boundaries. Alternatively, the computer can be initially programmed with the defined boundaries of each layer.

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In a preferred form, the laser control mechanism includes a mechanism for directing the laser beam in the target area and a mechanism for modulating the laser beam on and off to selectively sinter the powder in the target 10 area. In one embodiment, the directing mechanism operates to move the aim of the laser beam in a continuous raster scan of target area. The modulating mechanism turns the laser beam on and off so that the powder is sintered only when the aim of the laser beam is within the defined 15 boundaries for the particular layer. Alternatively, the directing mechanism aims the laser beam only within the defined boundaries for the particular layer so that the laser beam can be left on continuously to sinter the powder within the defined boundaries for the particular 20 layer.

In a preferred embodiment, the directing mechanism moves the laser beam in a repetitive raster scan of the target area using a pair of mirrors driven by galvonometers. The first mirror reflects the laser beam to the second mirror which reflects the beam into the target 25 area. Shifting movement of the first mirror by its galvonometer shifts the laser beam generally in one direction in the target area. Similarly, shifting movement of the second mirror by its galvonometer shifts the laser beam in the target area in a second direction. 30 Preferably, the mirrors are oriented relative to each other so that the first and second directions are generally perpendicular to each other. Such an arrangement allows for many different types of scanning patterns 35 of the laser beam in the target area, including the raster

-6-

scan pattern of the preferred embodiment of the present invention.

The method of part production of the present invention includes the steps of depositing a first portion of powder onto a target surface, scanning the aim of a directed energy beam (preferably a laser) over the target surface, and sintering a first layer of the first powder portion on the target surface. The first layer corresponds to a first cross-sectional region of the part. The powder is sintered by operating the directed energy source when the aim of the beam is within the boundaries defining the first layers. A second portion of powder is deposited onto the first sintered layer and the aim of the laser beam scanned over the first sintered layer. A second layer of the second powdered portion is sintered by operating the directed energy source when the aim of the beam is within the boundaries defining the second layer. Sintering of the second layer also joins the first and second layers into a cohesive mass. Successive portions of powder are deposited onto the previously sintered layers, each layer being sintered in turn. In one embodiment, the powder is deposited continuously into the target.

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In a preferred embodiment, the laser beam is modulated on and off during the raster scan so that the powder is sintered when the aim of the beam is directed within the boundaries of the particular layer. Preferably, the laser is controlled by a computer; the computer may include a CAD/CAM system, where the computer is given the overall dimensions and configuration of the part to be made and the computer determines the boundaries of each cross-sectional region of the part. Using the determined boundaries, the computer controls the sintering of each layer corresponding to the cross-sectional regions of the

part. In an alternative embodiment, the computer is simply programmed with the boundaries of each cross-sectional region of the part.

5 Additionally, another embodiment of the present invention includes a device for distributing the powder as a layer over the target area or region. Preferably, the distributing device includes a drum, a mechanism for moving the drum across the region, and a mechanism for 10 counter-rotating the drum as it is moved across the region. The drum moving mechanism preferably keeps the drum a desired spacing above the region to yield a layer of powder of a desired thickness. The drum is operable when counter-rotated and moved across the region to 15 project powder forward in the direction of movement, leaving behind a layer of powder having the desired thickness.

In still another embodiment, a downdraft mechanism 20 for controlling temperature of the powder is provided which includes a support defining the target area, a mechanism for directing air to the target area, and a mechanism for controlling the temperature of the air prior to reaching the target area. The support preferably 25 includes porous medium on which the powder is deposited and a plenum adjacent the porous medium. Thus, the controlled temperature air is directed to the powder in the target area and helps control the temperature of the sintered and unsintered powder in the target area.

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As can be appreciated from the above general description, the method and apparatus of the present invention solves many of the problems associated with known part production methods. First, the present invention is well 35 suited for prototype part production or replacement part production of limited quantities. Further, the method and

apparatus hereof are capable of making parts of complex configurations unobtainable by conventional production methods. Further, the present invention eliminates tool wear and machine design as limiting factors on the
5 tolerances obtainable in producing the part. Finally, with the apparatus of the present invention incorporated into a CAD/CAM environment, a large number of replacement parts can be programmed into the computer and can be easily produced with little set-up or human intervention.

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FIGURE 1 is a schematic representation of the apparatus of the present invention;

15 FIGURE 2 is a schematic showing a portion of the layered build up of a part produced in accordance with the present invention, and illustrating the raster scan pattern of the laser beam in the target area;

20 FIGURE 3 is a block diagram depicting the interface hardware between the computer, laser and galvonometers of the present invention;

25 FIGURE 4 is a perspective view of an example part produced in accordance with the present invention;

FIGURE 5 is a sectional view with parts broken away and in phantom, of the part illustrated in FIGURE 4;

30 FIGURE 6 is a flow chart of the data metering program in accordance with the present invention;

FIGURE 7 is a sectional view taken along line 7-7 of FIGURE 4;

FIGURE 8 illustrates in diagram form the correlation between a single sweep of the laser across the layer of FIGURE 7 and the control signals of the present invention;

5 FIGURE 9 is a schematic, vertical, sectional view of the powder dispensing device of the present invention distributing powder in a layer on the part being produced;

10 FIGURE 10 is a schematic, perspective view of the powder dispensing device of the present invention; and

FIGURE 11 is a schematic view of an apparatus for moderating the temperature of the powder in accordance with the present invention.

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Turning now to the drawings, FIGURE 1 broadly illustrates the apparatus 10 in accordance with the present invention. Broadly speaking, the apparatus 10 includes a laser 12, powder dispenser 14, and laser control means 16. 20 In more detail, the powder dispenser 14 includes a hopper 20 for receiving the powder 22 and having an outlet 24. The outlet 24 is oriented for dispensing the powder to a target area 26, which in FIGURE 1 is generally defined by the confinement structure 28. Of course, many alternatives exist for dispensing the powder 22.

The components of the laser 12 are shown somewhat schematically in FIGURE 1 and include a laser head 30, a safety shutter 32, and a front mirror assembly 34. The 30 type of laser used is dependent upon many factors, and in particular upon the type of powder 22 that is to be sintered. In the embodiment of FIGURE 1, a Nd:YAG laser (Lasermetrics 9500Q) was used which can operate in a continuous or pulsed mode with a hundred-watt maximum 35 outlet power in the continuous mode. The laser beam output of the laser 12 has a wavelength of approximately 1060

-10-

nM, which is near infrared. The laser 12 illustrated in FIGURE 1 includes an internal pulse rate generator with a selectable range of about one kiloHertz to forty kiloHertz, and an approximately six nanosecond pulse duration. In either the pulsed or continuous mode, the laser 12 can be modulated on or off to selectively produce a laser beam which travels generally along the path shown by the arrows in FIGURE 1.

10 To focus the laser beam, a diverging lens 36 and converging lens 38 are disposed along the path of travel of the laser beam as shown in FIGURE 1. Using just the converging lens 38, the location of the true focal point is not easily controlled by varying the distance between 15 the converging lens 38 and the laser 12. The diverging lens 36 placed between the laser 12 and convening lens 38 creates a virtual focal point between the diverging lens 36 and the laser 12. Varying the distance between the converging lens 38 and the virtual vocal point, allows 20 control of the true focal point along the laser beam path of travel on the side of the converging lens 38 remote from the laser 12. In recent years there have been many advances in the field of optics, and it is recognized that many alternatives are available to efficiently focus the 25 laser beam at a known location.

In more detail, the laser control means 16 includes computer 40 and scanning system 42. In a preferred embodiment, the computer 40 includes a microprocessor for 30 controlling the laser 12 and a CAD/CAM system for generating the data. In the embodiment illustrated in FIGURE 1, a personal computer is used (Commodore 64) whose primary attributes include an accessible interface port and a flag line which generates a nonmaskable interrupt.

As shown in FIGURE 1, the scanning system 42 includes a prism 44 for redirecting the path of travel of the laser beam. Of course, physical layout of the apparatus 10 is the primary consideration in determining whether a prism 44, or a plurality of prisms 44, are needed to manipulate the path of travel of the laser beam. The scanning system 42 also includes a pair of mirrors 46, 47 driven by respective galvanometers 48, 49. The galvanometers 48, 49 coupled to their respective mirrors 46, 47 to selectively orientate the mirrors 46, 47. The galvanometers 46, 47 are mounted perpendicular to each other such that the mirrors 46, 47 are mounted nominally at a right angle to each other. A function generator driver 50 controls the movement of the galvanometer 48 (galvanometer 49 is slaved to the movement of galvanometer 48) so that the aim of the laser beam (represented by the arrows in FIGURE 1) can be controlled in the target area 26. The driver 50 is operatively coupled to the computer 40 as shown in FIGURE 1. It will be appreciated that alternative scanning methods are available for use as the scanning system 42, including acusto-optic scanners, rotating polygon mirrors, and resonant mirror scanners.

Turning to FIGURE 2 of the drawing, a portion of a part 52 is schematically illustrated and shows four layers 54-57. The aim of the laser beam, labeled 64 in FIGURE 2, is directed in a raster scan pattern as at 66. As used herein, "aim" is used as a neutral term indicating direction, but does not imply the modulation state of the laser 30 12. For convenience, the axis 68 is considered the fast scan axis, while the axis 70 is referred to as the slow scan axis. Axis 72 is the direction of part build-up.

Turning to FIGURES 9 and 10, an alternative form of powder dispenser 20 is illustrated. Broadly speaking, a support defines a target area 102 where the aim of the

-12-

beam 64 is directed (see FIG. 1). A hopper 104 dispenses the powder 106 through opening 108 into the target area 102. A metering roller (not shown) is disposed in the opening 108, such that when rotated the metering roller 5 deposits a metered mound of powder in a line at end 110 of the target area 102.

A leveling mechanism 114 spreads the mound of powder 106 from end 110 to the other end 112 of the target area. 10 The leveling mechanism 114 includes a cylindrical drum 116 having an outer knurled surface. A motor 118 mounted on bar 120 is coupled to the drum 116 via pulley 122 and belt 124 to rotate the drum.

15 The leveling mechanism 114 also includes a mechanism 126 for moving the drum 116 between end 110 and end 112 of the target area. The mechanism 126 comprises an X/Y table for moving the bar 120 horizontally and vertically. That is, table 128 is fixed while plate 130 is selectively 20 moveable relative to plate 130.

Still another embodiment is shown in FIGURE 11 for controlling the temperature of the article being produced. Undesirable shrinkage of the article being produced has 25 been observed to occur due to differences between the temperature of the particles not yet scanned by the directed energy beam and the temperature of the previously scanned layer. It has been found that a downward flow of controlled-temperature air through the target area is able 30 to moderate such undesirable temperature differences. The controlled-temperature air downdraft system 132 of FIGURE 11 reduces thermal shrinkage by providing heat transfer between the controlled-temperature air and the top layer 35 of powder particles to be sintered. This heat transfer moderates the temperature of the top layer of particles to be sintered, controls the mean temperature of the top

-13-

layer, and removes bulk heat from the article being produced, thereby reducing its bulk temperature and preventing the article from growing into the unsintered material. The temperature of the incoming air is adjusted 5 to be above the softening point of the powder, but below the temperature at which significant sintering will occur.

The downdraft system 132 broadly includes a support 134 defining target area 136, means for directing air to 10 the target area, and a mechanism for controlling the temperature of the incoming air, such as resistance heater 142. The air directing means includes chamber 138 surrounding support 134, fan 140 and/or vacuum 141. A window 144 admits the aim of the beam 64 (FIG. 1) to the 15 target area 136. A powder dispensing mechanism (not shown), such as illustrated in FIGURES 1 or 10 is disposed at least partially in the chamber 138 to dispense powder onto target area 136.

20 Support 134 preferably comprises a filter medium 146 (such as a small-pore paper) on top of a honey-comb porous medium 148. A plenum 150 is disposed for gathering air for passage to outlet 152. Of course, the outlet 152 is connected to vacuum 141 or other air handling mechanism.

25

Operation

A fundamental concept of the present invention is the 30 build up of a part in a layer-by-layer manner. That is, a part is considered a plurality of discrete cross-sectional regions which cumulatively comprise the three-dimensional configuration of the part. Each discrete cross-sectional region has defined two-dimensional boundaries - of course, 35 each region may have unique boundaries. Preferably, the

-14-

thickness (dimension in the axis 72 direction) of each layer is constant.

In the method, a first portion of powder 22 is
5 deposited in the target area 26 and selectively sintered by the laser beam 64 to produce a first sintered layer 54 (FIGURE 2). The first sintered layer 54 corresponds to a first cross-sectional region of the desired part. The laser beam selectively sinters only the deposited powder
10 22 within the confines of the defined boundaries.

There are, of course, alternative methods of selectively sintering the powder 22. One method is for the aim of the beam to be directed in a "vector" fashion - that
15 is, the beam would actually trace the outline and interior of each cross-sectional region of the desired part. Alternatively, the aim of the beam 64 is scanned in a repetitive pattern and the laser 12 modulated. In FIGURE 2, a raster scan pattern 66 is used and is advantageous
20 over the vector mode primarily in its simplicity of implementation. Another possibility is to combine the vector and raster scan methods so that the desired boundaries of the layer are traced in a vector mode and the interior irradiated in a raster scan mode. There are,
25 of course, trade-offs associated with the method chosen. For example, the raster mode has a disadvantage when compared to the vector mode in that arcs and lines which are not parallel to the axes 68, 70 of the raster pattern 66 of the laser beam 64 are only approximated. Thus, in
30 some cases resolution of the part can be degraded when produced in the raster pattern mode. However, the raster mode is advantageous over the vector mode in the simplicity of implementation.

35 Turning to FIGURE 1, the aim of the laser beam 64 is scanned in the target area 26 in a continuous raster pat-

tern. Broadly speaking, the driver 50 controls galvonometers 48, 49 to make the raster pattern 66 (see FIGURE 2). Shifting movement of the mirror 46 controls movement of the aim of the laser beam 64 in the fast scan axis 68 5 (FIGURE 2), while movement of the mirror 47 controls movement of the aim of the laser beam 64 in the slow scan access 70.

The present position of the aim of the beam 64 is fed 10 back through the driver 50 to the computer 40 (see FIGURE 3). As described below, in more detail, the computer 40 possesses information relating to the desired cross-sectional region of the part then being produced. That is, a portion of loose powder 22 is dispensed into 15 the target area 26 and the aim of the laser beam 64 moved in its continuous raster pattern. The computer 40 modulates the laser 12 to selectively produce a laser beam at desired intervals in the raster pattern 66. In this fashion, the directed beam of the laser 12 selectively 20 sinters the powder 22 in the target area 26 to produce the desired sintered layer with the defined boundaries of the desired cross-sectional region. This process is repeated layer-by-layer with the individual layers sintered together to produce a cohesive part - e.g. part 52 of 25 FIGURE 2.

Because of the relatively low output power of the laser head 30 illustrated in FIGURE 1, the powder 22 consisted of a plastic material (e.g. ABS), based on the 30 lower heat of fusion of most plastics, which is compatible with the lower power laser. Several post formation treatments are contemplated for the parts produced by the apparatus 10 of the present invention. For example, if such a produced part is to be used only as a prototype 35 model or as a die for sandcast or lost wax casting, then post-formation treatment may not be necessary. In some

-16-

situations, certain surfaces of the parts produced may be designed for close tolerances, in which case some post-fabrication machining would be accomplished. Alternatively, some types of parts may require certain material properties which can be achieved by heat-treating and/or chemically treating the part. For example, the granule size of the powder 22 could be such to produce a part having an open porosity and epoxy or similar substance injected into the part could achieve the desired material properties - e.g. compression strength, abrasion resistance, homogeneity, etc.

Several characteristics of powder 22 have been identified which improve performance. First, energy absorption by the powder can be controlled by the addition of a dye such as carbon black. Adjusting the concentration and composition of the additive controls the absorptivity constant K of the powder. Generally, energy absorptivity is governed by the exponential decay relation:

$$I(z) = I_0 \exp(K z)$$

where $I(z)$ is the optical intensity (powder per unit area) in the powder at a distance z normal to the surface, I_0 is the surface value of I (intensity at the surface), and K is the absorptivity constant. Adjustment of the absorptivity constant K and adjustment of the layer thickness in which a given fraction of beam energy is absorbed gives overall control of the energy absorbed in the process.

Another important characteristics of the powder is the aspect ratio of the particles (i.e. ratio of the particle's maximum dimension to its minimum dimension). That is, particles with certain aspect ratios tend to warp

during shrinkage of the part. With particles having low aspect ratios, i.e. nearly spherical, part shrinkage is more three dimensional, resulting in greater warp. When particles with high aspect ratios are used (e.g. flakes or rods) shrinkage primarily is in a vertical direction reducing or eliminating warping of the part. It is believed that high aspect ratio particles have greater freedom to accommodate bonding and interparticle contact is preferentially oriented in horizontal planes causing shrinkage to occur primarily in a vertical direction.

Turning now to FIGURES 9 and 10, the dispensing mechanism 114 has been found to provide a controlled level layer of powder in the target area 102 without disturbing the part being produced. A metered amount of powder 106 is deposited at end 110 of the target area 102. The drum 116 is spaced away from end 110 when the powder 106 is dispensed. In the system illustrated in FIGURE 10, the plate 130 and bar 120 (and attached mechanisms) are raised vertically after the powder is dispensed in the mound. Travel of the plate 130 towards the hopper 104 brings the drum 116 into position adjacent the mound of powder lined up along end 110. The drum 116 is lowered to contact the mound of powder and brought horizontally across the target area 102 to spread the mound of powder in a smooth even layer. Of course, the precise location of plate 130 relative to table 128 can be controlled, so that the spacing between drum 116 and target area 102 can be precisely controlled to yield the desired thickness to the layer of powder. Preferably, the spacing between the drum 116 and target area 102 is constant to give a parallel motion, but other spacing options are available.

As the drum 116 is moved horizontally across the target area 102 from end 110 to end 112, motor 118 is activated to counter-rotate the drum 116. As shown in

FIGURE 9, "counter-rotation" means the drum 116 is rotated in the direction R counter to the direction of movement M of the drum 116 horizontally across the target area 102.

5 In more detail (FIG. 9), the drum 116 is counter-rotated at high speed to contact the mound of powder 106 along the trailing edge 160. The mechanical action of the drum on the powder ejects the powder to the direction of movement M so that the ejected particles fall in the
10 region of the leading edge of the powder 162. As illustrated in FIGURE 9, a smooth, level layer of powder is left behind the drum 116 (between drum 116 and end 110) as depicted at 164.

15 FIGURE 9 also illustrates schematically that the powder 106 can be distributed over the target area without disturbing previously sintered powder 166 or unsintered powder 168. That is, the drum 116 is moved across the target area 102 without transmitting shear stress to the
20 previously built up layers and without disturbing the article as it is being produced. The absence of such sheer stress permits a smooth layer of powder 106 to be distributed on the fragile substrate in the target area, which includes both the sintered particles 166 and the
25 unsintered particles 168.

Interface and Software

30 The interface hardware operatively interconnects the computer 40 with the laser 12 and galvanometers 47, 48. The output port of the computer 40 (see FIGURES 1 and 3) is directly connected to the laser 12 to selectively modulate the laser 12. When operated in the pulsed mode, the
35 laser 12 is easily controlled by digital inputs to the pulsed gate input of the laser. Galvanometer 48 is driven

-19-

by the function generator driver 50 to drive the beam in the fast scan axis 68 independent of any control signals from the computer 40. However, a position feedback signal from the galvonometer 48 is fed to a voltage comparator 74 5 as shown in FIGURE 3. The other input to the comparator is connected to the digital-to-analog convertor 76 which is indicative of the least significant six bits (bits 0-5) of the user port of the computer 40. As shown in FIGURE 3, the output of the voltage comparator 74 is connected to 10 the flag line on the user port of the computer 40. When the voltage comparator determines that the feedback signal from the galvonometer 48 crosses the signal from the digital-to-analog convertor 76, the flag line goes low causing a nonmaskable interrupt. As discussed below, the 15 nonmaskable interrupt causes the next byte of data to put out on the user port of a computer 40.

Finally, as shown in FIGURE 3, the galvonometer 49 driving the aim of the laser beam 64 in the slow scan axis 20 70, is controlled by a second digital to analog convertor 78. The digital-to-analog convertor 78 is driven by a counter 79 which increments with each sweep of the aim of the beam 64 in the fast scan axis 68. The eight byte counter is designed to overflow after 256 scans in the 25 fast scan axis 68 to start a new cycle or raster scan pattern 66.

Preferably, the control information (i.e. defined boundaries of the cross-sectional regions) data for each 30 raster pattern 66 would be determined by a CAD system given the overall dimensions and configuration of the part to be produced. Whether programmed or derived, the control information data for each raster pattern 66 is stored in the computer memory as a series of eight bit 35 words. The data format represents a pattern of "on" and "off" regions of the laser 12, versus distance along the

-20-

raster pattern 66 traveled by the aim of the beam 64. The data is stored in a "toggle-point" format where the data represents the distance along each raster scan pattern 66 where the laser is modulated (i.e. turned from on to off or from off to on). Although a "bit map" format might be used, the toggle point format has been found more efficient for the production of high resolution parts.

For each eight bit word, the least significant six bits (bits 0-5) represent the location of the next toggle point - i.e. the next location for modulation of the laser 12. The next bit (bit 6) represents whether the laser is on or off immediately before the toggle point identified in the least significant six bits. The most significant bit (MSB or bit 7) is used for looping and for controlling the slow scan axis 70 of the aim of the beam 64. Because the Commodore 64 had limited memory, looping was required - it being understood that a computer 40 with more memory would not require looping.

20

FIGURE 6 represents the flow chart for the data metering program. The data metering program is run whenever the flagline goes low causing a non-maskable interrupt (see FIGURE 3). The interrupt causes the micro-processor of the computer 40 to retrieve a two byte interrupt vector which points to the location in memory where program control is transferred at interrupt. As shown in FIGURE 6, the data metering program first pushes the registers onto the stack and then loads the next byte of data into the accumulator. The data word is also output to the user port with the sixth bit used to modulate the laser 12 (FIGURE 3).

As shown in FIGURE 6, the most significant bit (MSB or bit 7) of the data word in the accumulator is examined. If the value of the most significant bit is one, that

means the end of the loop has not been reached; therefore the data pointer is incremented, registers are restored from the stack, and the data metering program is exited, returning control to the microprocessor at the location of 5 interrupt. If the most significant bit in the accumulator is zero, the data word is the last word in the loop. If the data word is the last word in the loop, the next bit in memory is a loop counter and the following two bytes are a vector pointing to the top of the loop. As can be 10 seen from FIGURE 6, if the most significant bit equals zero (end of the loop) the loop counter (next bit) is decremented and analyzed. If the loop counter is still greater than zero, the data pointer assumes the value from the next two memory bytes after the loop counter, registers 15 are pulled from the stack and program control returns to the location of interrupt. On the other hand, if loop counter is zero, the data pointer is incremented by three and the loop counter is reset to ten before exiting the program. It can be appreciated that the need for such 20 looping is absolved if the memory size of the computer 40 is adequate.

Example

25

In FIGURES 4 and 5, an example part 52 is illustrated. As can be seen from the drawing, the example part 52 assumes an unusual shape in that it is not symmetrical and would be difficult to fabricate using conventional 30 machining methods. For reference purposes, the part 52 includes an outer base structure 80 having an interior cavity 82 and a pillar 84 disposed within the cavity 82 (see FIGURE 4). FIGURE 5 shows the part 52 within the confinement structure 28 defining the target area 26 35 illustrated in FIGURE 1. As shown in FIGURE 5, some of the powder 22 is loose, while the remainder of the powder

is selectively sintered to comprise the structure of the part 52. FIGURE 5 is shown in vertical section with parts broken away and outlined in phantom to show the sintered cohesive portions of the part 52.

5

FIGURE 7 shows a horizontal cross-sectional region, taken along line 7-7 of FIGURE 4. FIGURE 7 represents a discrete layer 86 associated with the cross-sectional region of the part being produced. As such, the sintered 10 layer 86 of FIGURE 7 is a product of a single raster pattern 66 as illustrated in FIGURE 2.

For reference purposes, a sweep line through the sintered layer 86 has been labeled "L." FIGURE 8 illustrates the software and hardware interface operation 15 during the sweep L. The top graph shows the position of feedback signal from the fast axis galvo 48 and the output signal of the first digital to analog convertor 76 (compare FIGURE 3). The voltage comparitor 74 generates an 20 output signal to the flag line of the computer 40 every time the feedback signal and first D/A output signal cross.

In the top graph of FIGURE 8, these points are 25 labeled T to represent toggle points. As can be seen from the bottom graph of FIGURE 8, the flag line generates a nonmaskable interrupt corresponding to each toggle point T. The sixth bit of each data word is analyzed and the current state of the laser 12 will reflect the value. The 30 penultimate graph of FIGURE 8 shows the laser modulation signal for the sweep line L of FIGURE 7. The second graph of FIGURE 8 shows that a high-going edge in the most significant bit will be encountered at the end of each sweep of the aim of the laser beam 64 in the fast scan 35 axis 68. As shown in FIGURES 3 and 6, the counter 79 increments on a high going edge, and outputs a signal to

the second digital-analog convertor 78 to drive the slow axis galvonometer 49.

* * *

5

As can be seen from the example illustrated in the drawing, parts of complex shape can be produced with relative ease. Those skilled in the art will appreciate that the part 52 illustrated in FIGURE 4 would be difficult to 10 produce using conventional machining methods. In particular, machine tool access would make the fabrication of cavity 82 and pillar 84 difficult, if not impossible, to produce if the part 52 were of a relatively small size.

15 In addition to avoiding the access problem, it will be appreciated that the production accuracy is not dependent upon machine tool wear and the accuracy of mechanical components found in conventional machine tools. That is, the accuracy and tolerances of the parts produced by the 20 method and apparatus of the present invention are primarily a function of the quality of the electronics, the optics, and the implementing software. Of course, heat transfer and material considerations do affect the tolerances obtainable.

25

Those skilled in the art will appreciate that conventional machining techniques require considerable human intervention and judgment. For example, a conventional machining process, such as milling, would require 30 creativity to make such decisions as tool selection, part segmenting, sequence of cuts, etc. Such decisions would even be more important when producing a control tape for a tape control milling machine. On the other hand, the apparatus of the present invention only requires the data 35 relating to each cross-sectional region of the part being produced. While such data can be simply programmed into

the computer 40, preferably, the computer 40 includes a CAD/CAM system. That is, the CAD/CAM portion of the computer 40 is given the overall dimensions and configurations of the desired part to be produced and the computer 5 40 determines the boundaries for each discrete cross-sectional region of the part. Thus, a vast inventory of part information can be stored and fed to the computer 40 on a selectable basis. The apparatus 10 produces a selected part without set-up time, part specific tooling, 10 or human intervention. Even the complex and expensive dies associated with powder metallurgy and conventional casting techniques are avoided.

While large quantity production runs and certain part 15 material characteristics might be most advantageously made using conventional fabrication techniques, the method and apparatus 10 of the present invention is useful in many contexts. In particular, prototype models and casting patterns are easily and inexpensively produced. For 20 example, casting patterns are easily made for use in sand casting, lost wax casting, or other forming techniques. Further, where desired quantities are very small, such as with obsolete replacement parts, production of such replacement parts using the apparatus 10 of the present 25 invention has many advantages. Finally, the use of the apparatus 10 may be useful where size of production facilities is a major constraint, such as on-ship or in outerspace.

-25-

CLAIMS:

1. An apparatus for producing a part comprising:

5

laser means for selectively emitting a laser beam;

10 structure for providing a target area for producing the part;

means for dispensing a powder into said target area; and

15

laser control means for moving the aim of the laser beam and for modulating the laser to selectively sinter within defined boundaries a layer of powder dispensed in said target area,

20

the control means being operable to effect selective sintering of sequential layers of powder within respective defined boundaries to produce a part comprising a plurality of layers sintered together.

25

30 2. The apparatus according to claim 1, the control means including means for directing the aim of the laser beam in said target area and means for modulating the laser by turning the beam on and off to selectively sinter the powder in the target area.

35

-26-

3. The apparatus according to claim 2, the directing means being operable to move the aim of the laser beam in a raster scan of the target area.

5

4. The apparatus according to claim 2, the directing means including one or more lenses to focus the laser.

10 5. The apparatus according to claim 2, the directing means including a prism to change the direction of the laser beam emitted from the laser means.

15 6. The apparatus according to claim 2, the directing means including a scanning system to move the aim of the laser beam in said target area in a repetitive pattern.

20 7. The apparatus according to claim 1, including means for directing controlled temperature air to the part to moderate the temperature of the part.

25 8. The apparatus according to claim 1, the control means including a computer and interface hardware to turn on and off the laser beam as the aim of the beam is moved in the target area.

30

9. The apparatus according to claim 8, the computer being programmed with the defined boundaries of each layer of the part to turn on the laser beam with the aim of the beam within the defined boundaries for each layer.

-27-

10. The apparatus according to claim 8, the computer being operable to determine the defined boundaries of each layer of the part given the overall dimensions of the part.

5

11. The apparatus according to claim 1, the powder dispensing means including a hopper for receiving powder, a metered outlet directing the powder to the target area, 10 and a counter-rotating drum moved across the target area to distribute the powder in the target area.

12. The apparatus according to claim 1, said dispensing 15 means being operable to dispense plastic, ceramic, polymer, or metal powder.

13. A method of producing a part comprising the steps of: 20

depositing a first portion of powder onto a target surface;

25 scanning the aim of a directed energy beam over the target surface;

sintering a first layer of the first powder portion corresponding to a first cross-sectional region of the part by operating 30 the beam when the aim of the beam is within boundaries defined by said first cross-sectional region;

35 depositing a second portion of powder onto the first sintered layer;

-28-

scanning the aim of a directed energy beam over
the first sintered layer;

5 sintering a second layer of the second powder
portion corresponding to a second cross-
sectional region of the part by operating
the beam when the aim of the beam is within
boundaries defined by said second cross-
sectional region,

10 the first and second layers being
joined during the sintering of
said second layer; and

15 depositing successive portions of powder onto
the previous sintered layers and sintering
a layer of each successive portion to
produce a part comprising a plurality of
sintered layers.

20 14. The method according to claim 13, comprising the
further steps of:

25 providing a control means operably connected to
the directed energy mechanism; and

supplying the control means with the boundaries
of each cross-sectional region of the part.

30 15. The method according to claim 13, comprising the
further steps of:

-29-

providing a control means having a computer; and
5 supplying the overall dimensions of the part to
the computer, the computer determining the
boundaries of each cross-sectional region
of the part.

16. The method according to claim 13, the scanning steps
10 including directing the aim of the beam only within the
respective boundaries of the respective cross-sectional
regions.

15 17. The method according to claim 13, wherein the scan-
ning and sintering steps include the steps of moving the
aim of the beam in a raster scan and turning on and off
the beam during the raster scan when the aim is within the
boundaries.

20

18. The method according to claim 17, wherein the raster
scan is produced by redirecting the beam in a first
direction and redirecting the beam from the first
25 direction to a second direction to impact a generally
planar target area.

19. The method according to claim 17, wherein the beam is
30 redirected using a pair of mirrors coupled to respective
galvanometers, the first mirror being shifted to produce
movement of the beam in the target area in a first general
direction, and the second mirror being shifted to produce
movement of the beam in the target area in a second
35 general direction perpendicular to the first direction.

-30-

20. The method according to claim 13, including impregnating the part with an adhesive.

5 21. The method according to claim 13, wherein the powder is continuously deposited onto the target surface.

10 22. The method according to claim 13, the depositing step including the substeps of:

dispensing a mound of powder proximate one end of the target surface; and

15 moving a counter-rotating drum from said one end to another end of the target surface to contact the mound of powder and leave a layer of powder behind the moving drum.

20 23. A device for distributing a mound of powder at one end of a region as a layer of powder in the region, comprising:

drum means;

25 means for moving the drum from said one end of the region to another end of the region with a desired spacing between said region and drum;

30 means for rotating the drum counter to the direction of movement of the drum from said one end to said other end,

35 said drum means being operable when counter-rotated and moved from said one end to said

-31-

other end to contact said mound and eject powder in said direction of movement to leave a layer of powder between the drum means and said one end having a thickness of approximately said desired spacing.

5

24. The device according to with claim 23, wherein said desired spacing is constant.

10

25. The device according to claim 23, wherein said region is flat and the desired spacing constant with the drum means being moved parallel to said region.

15

26. The device according to claim 23, said drum means comprising a cylinder having a substantially uniform circular cross section.

20

27. The device according to claim 26, said cylinder having a knurled outer surface.

25

28. The device according to claim 2, including means for depositing of said mound proximate said one end.

30 29. A method of applying a layer of powder in a region comprising the steps of:

depositing a mound of powder proximate one end of said region;

35

-32-

moving a drum in a direction from said one end of said region towards another end of the region;

5 rotating the drum counter to the direction of movement from said one end towards the other end;

10 contacting the mound of powder with the counter-rotating drum as it moves towards said other end;

15 ejecting powder from said mound in the direction of movement from contact between said drum and mound, a layer of powder being left behind said moving drum between the drum and said one end.

20 30. The method according to claim 29, said moving step including the substep of maintaining the drum a desired spacing from said region.

25 31. The method according to claim 30, wherein said desired spacing is a constant distance from said region and said region is flat.

30 32. An apparatus for moderating temperature of a powder being sintered in a target area, comprising:

35 a support defining the target area and including a medium porous to air and a plenum for directing air passing through the medium away from the target area;

-33-

means for dispensing powder into the target area;

5 means for selectively sintering powder in the target area;

means for directing air to the target area; and

10 means for controlling the temperature of the air prior to reaching the target area.

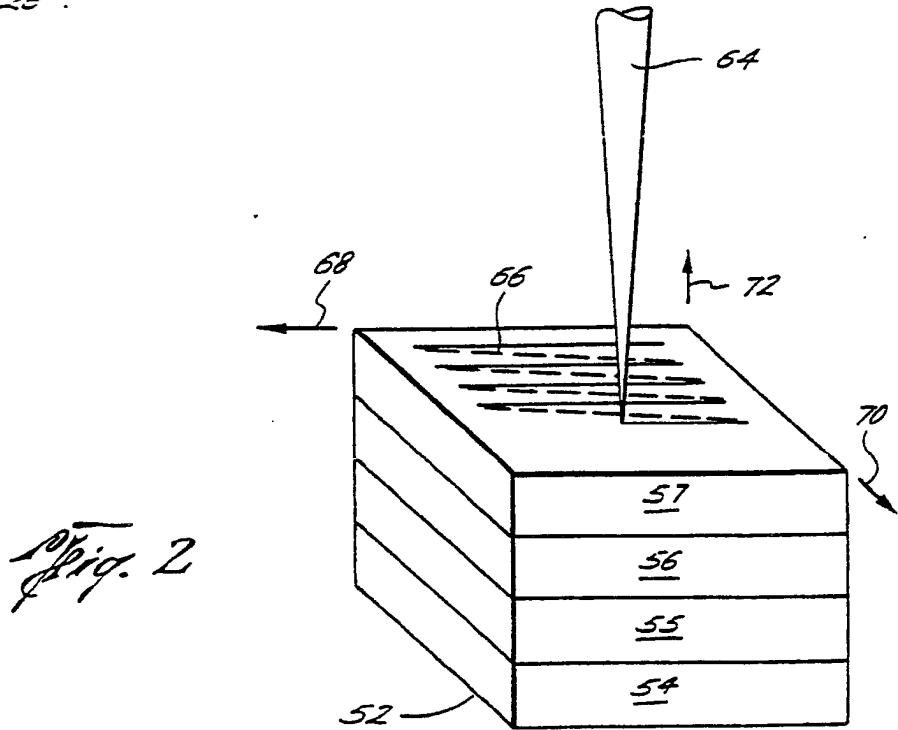
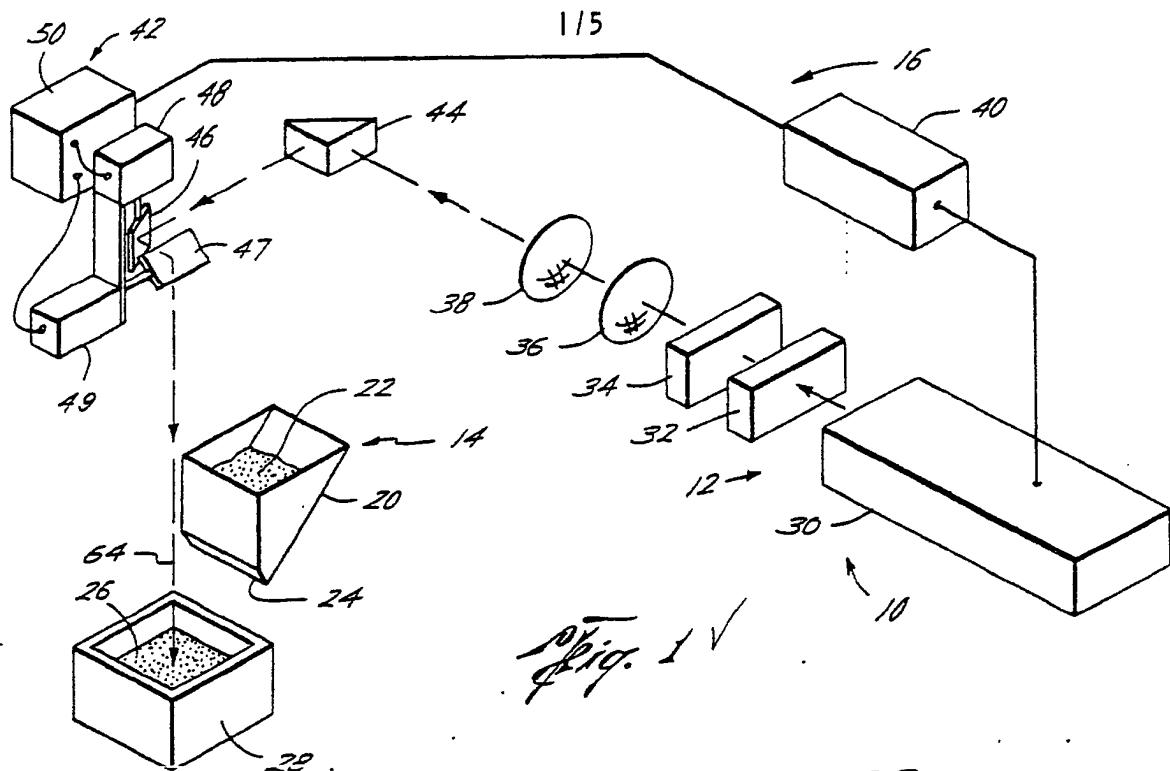
33. The apparatus in accordance with claim 32, wherein said directing means comprises a chamber surrounding said 15 target area and a blower fan impelling air into the chamber.

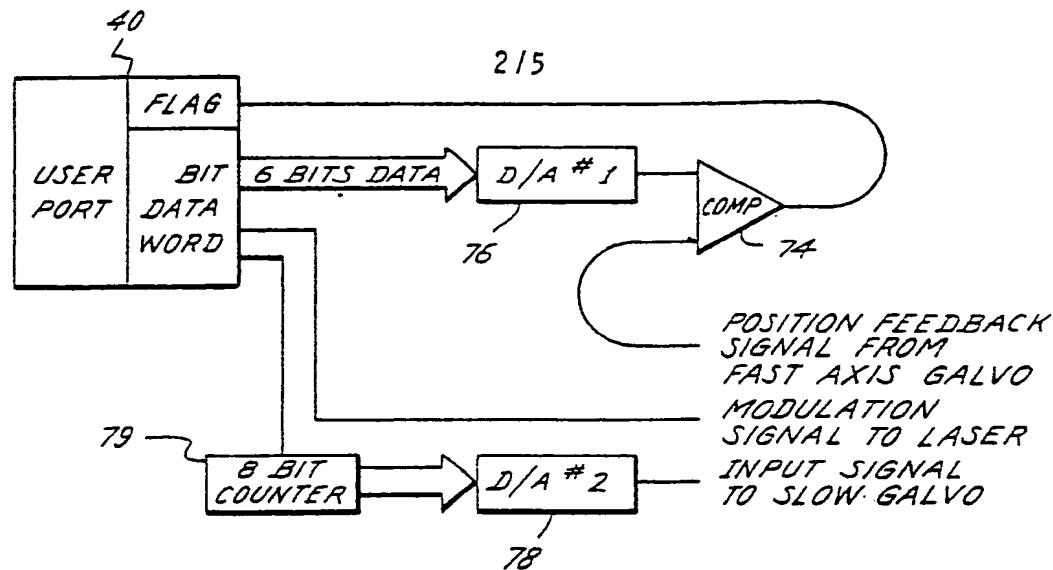
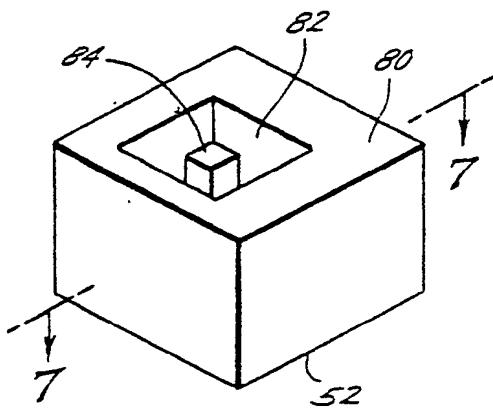
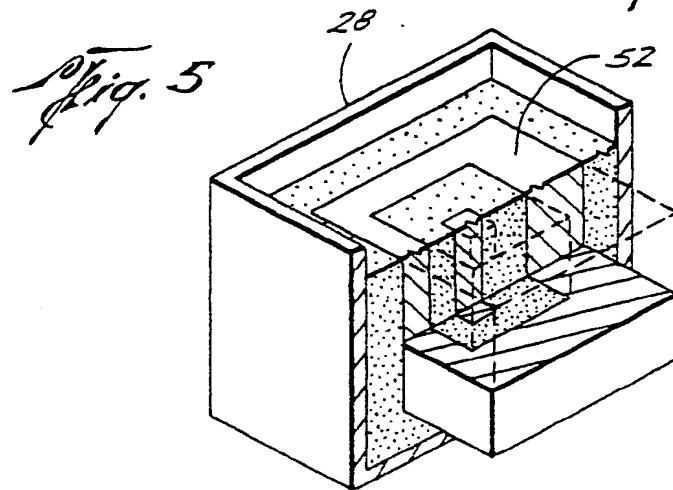
34. The apparatus in accordance with claim 32, said 20 selective sintering means comprising a directed energy beam and means for controlling the aim of the beam and for modulating the beam.

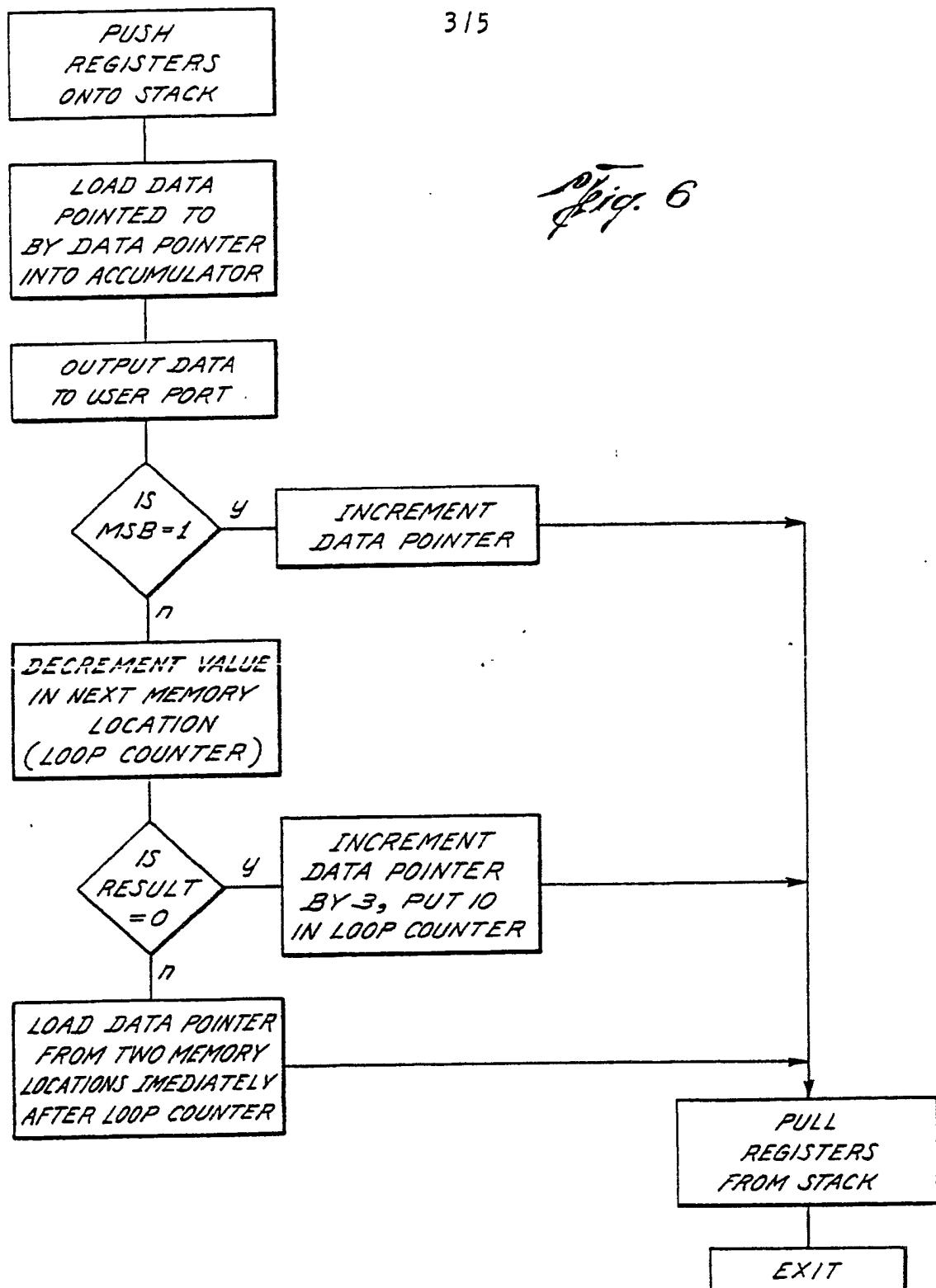
25 35. The apparatus in accordance with claim 32, said dispensing means comprising a drum, means for moving the drum from one end of the target area to the other end, means for counter-rotating the drum, and means for depositing a mound of powder proximate said one end.

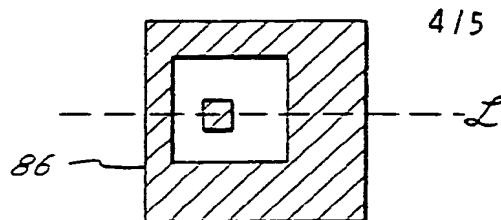
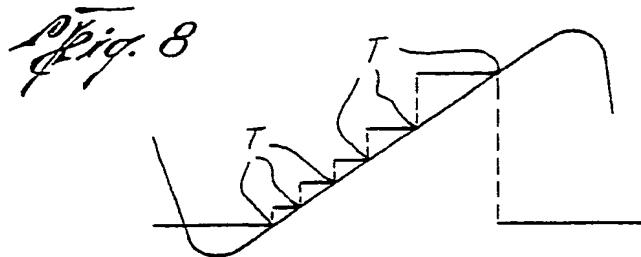
30

36. The apparatus in accordance with claim 32, said temperature controlling means comprising a heating element disposed in the air.



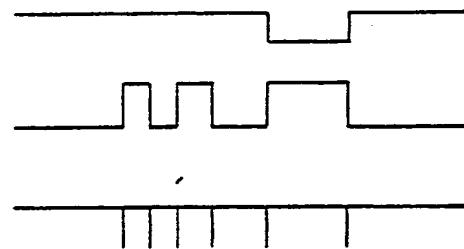
*Fig. 3**Fig. 4**Fig. 5*



*Fig. 7*

*FAST GALVO
POSITION FEEDBACK*

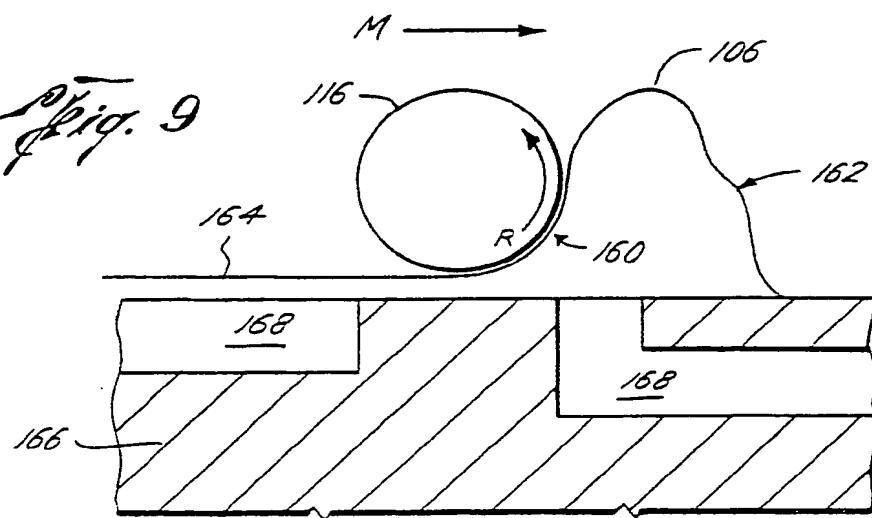
D/A #1 OUTPUT

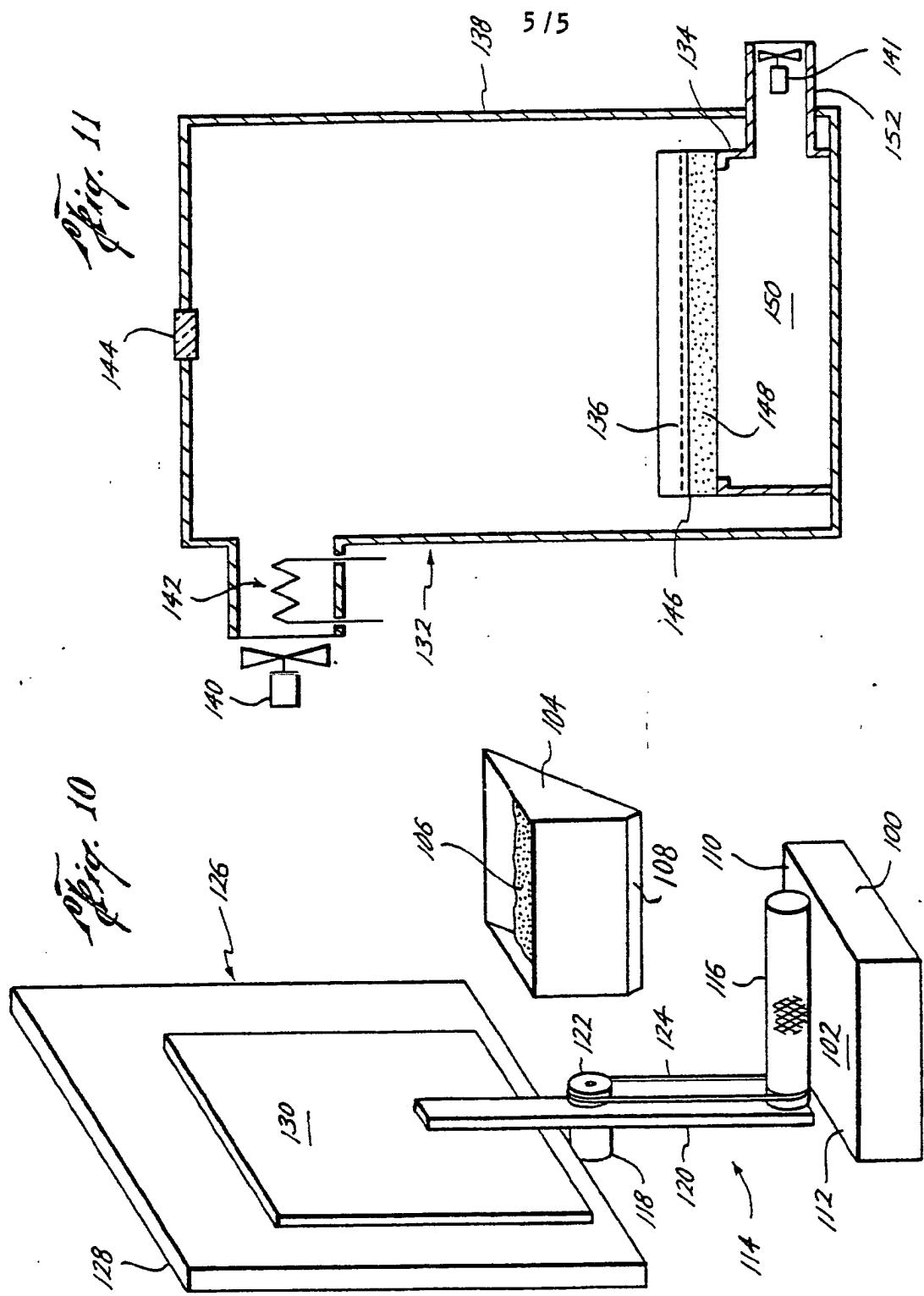


MSB OF DATA WORD

*LASER MODULATION
SIGNAL*

FLAG

*Fig. 9*

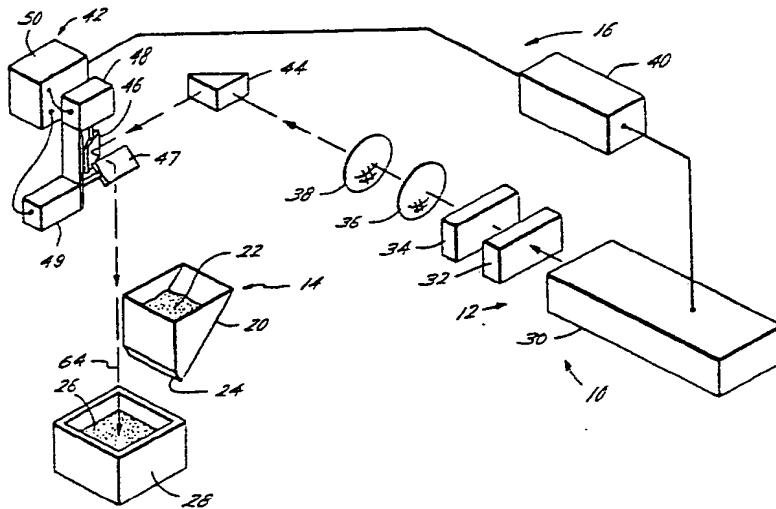




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁴ : B23K 26/00, 26/02, B05D 3/06 G05B 19/41, B22F 7/02 B05B 3/02		A3	(11) International Publication Number: WO 88/02677 (43) International Publication Date: 21 April 1988 (21.04.88)
(21) International Application Number: PCT/US87/02635		(74) Agent: HUSTON, Charles, D.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US).	
(22) International Filing Date: 14 October 1987 (14.10.87)			
(31) Priority Application Numbers: 920,580 105,316		(81) Designated States: AT, AT (European patent), AU, BB, BE (European patent), BG, BJ (OAPI patent), BR, CF (OAPI patent), CG (OAPI patent), CH, CH (European patent), CM (OAPI patent), DE, DE (European patent), DK, FI, FR (European patent), GA (OAPI patent), GB, GB (European patent), HU, IT (European patent), JP, KP, KR, LK, LU, LU (European patent), MC, MG, ML (OAPI patent), MR (OAPI patent), MW, NL, NL (European patent), NO, RO, SD, SE, SE (European patent), SN (OAPI patent), SU, TD (OAPI patent), TG (OAPI patent).	
(32) Priority Dates: 17 October 1986 (17.10.86) 5 October 1987 (05.10.87)			
(33) Priority Country: US		(Published <i>With international search report Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(71) Applicant: BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM [US/US]; 201 West Seventh Street, Austin, TX 78701 (US).		(88) Date of publication of the international search report: 28 July 1988 (28.07.88)	
(72) Inventor: DECKARD, Carl, R. ; #94 U.T.M.H.P., Lake Austin Blvd., Austin, TX 78703 (US).			

(54) Title: METHOD AND APPARATUS FOR PRODUCING PARTS BY SELECTIVE SINTERING



(57) Abstract

A method and apparatus (10) for selectively sintering a layer of powder to produce a part comprising a plurality of sintered layers. The apparatus includes a computer (40) controlling a laser (12) to direct the laser energy onto the powder to produce a sintered mass. The computer either determines or is programmed with the boundaries of the desired cross-sectional regions of the part. For each cross-section, the aim of the laser beam is scanned over a layer of powder and the beam is switched on to sinter only the powder within the boundaries of the cross-section. Powder is applied and successive layers sintered until a completed part is formed. The powder may consist of a plastic, metal, ceramic, or polymer substance. In the preferred embodiment, the aim of the laser is directed in a continuous raster scan and the laser turned on when the beam is aimed within the boundaries of the particular cross-section being formed. Preferably, the powder dispensing mechanism includes a drum which is moved horizontally across the target area and counter-rotated to smooth and distribute the powder in an even layer across the target area. A down-draft system provides controlled temperature air flow through the target area to moderate powder temperature during sintering.

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INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 87/02635

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) *

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC⁴ : B 23 K 26/00; B 23 K 26/02; B 05 D 3/06; G 05 B 19/41;
B 22 F 7/02; B 05 B 3/02

II. FIELDS SEARCHED

Minimum Documentation Searched ?

Classification System	Classification Symbols
IPC ⁴	B 23 K; B 05 D; G 05 B; B 22 F; B 05 B; C 23 C

Documentation Searched other than Minimum Documentation
to the Extent that such Documents are Included in the Fields Searched *

III. DOCUMENTS CONSIDERED TO BE RELEVANT*

Category *	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
Y	DE, A, 2263777 (L.I.M. CIRAUD) 5 July 1973 see page 3, line 1 - page 4, line 13; claims 1-4 --	1-22
Y	Patent Abstracts of Japan, volume 8, no. 148 (M-308)(1585), 11 July 1984, & JP, A, 5945089 (TOKYO SHIBAURA DENKI K.K.) 13 March 1984 see the whole abstract --	1-22
Y	Patent Abstracts of Japan, volume 8, no. 186 (M-320)(1623), 25 August 1984, & JP, A, 5976689 (FUJITSU K.K.) 1 May 1984 see the whole abstract --	4,5,19
P,Y	EP, A, 0209366 (TOYOTA JIDOSHA) 21 January 1987 see page 22, line 11 - page 23, line 24 --	3-6,16-19 ./.

- * Special categories of cited documents:¹⁰
- "A" document defining the general state of the art which is not considered to be of particular relevance
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- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search

31st March 1988

Date of Mailing of this International Search Report

05 JUL 1988

International Searching Authority

EUROPEAN PATENT OFFICE

Signature of Authorized Officer

P.C.G. VANDER PUTTEN

International Application No. PCT/US 87/02635

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)

Category	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
A	GB, A, 1215184 (CHELTON (FORMING) LTD) 9 December 1970 see claim 2 --	1,13
X	DD, A, 137951 (K.H. BANKE et al.) 3 October 1979 see claims 1-8; page 4, lines 1-29 --	1,2,8-16, 20,21
A	US, A, 2076952 (A. KRATKY) 13 April 1937 see claim 6; page 2, lines 36-48 -----	11,22

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V. OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE¹

This International search report has not been established in respect of certain claims under Article 17(2) (e) for the following reasons:

1. Claim numbers because they relate to subject matter not required to be searched by this Authority, namely:

2. Claim numbers because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. Claim numbers....., because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING²

This International Searching Authority found multiple inventions in this international application as follows:

1. Claims 1-22: Method and apparatus for sintering by laser means.
2. Claims 23-31: Method and apparatus for applying a layer of powder.
3. Claims 32-36: Apparatus for moderating temperature of powder being sintered.

1. As all required additional search fees were timely paid by the applicant, this International search report covers all searchable claims of the international application.

2. As only some of the required additional search fees were timely paid by the applicant, this International search report covers only those claims of the international application for which fees were paid, specifically claims:

3. No required additional search fees were timely paid by the applicant. Consequently, this International search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

1-22

4. As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

Remark on Protest

The additional search fees were accompanied by applicant's protest.
 No protest accompanied the payment of additional search fees.

**ANNEX TO THE INTERNATIONAL SEARCH REPORT
ON INTERNATIONAL PATENT APPLICATION NO.**

US 8702635
SA 19868

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.
The members are as contained in the European Patent Office EDP file on 20/06/88
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE-A- 2263777	05-07-73	FR-A- 2166526	17-08-73
EP-A- 0209366	21-01-87	JP-A- 62016894 US-A- 4725708	26-01-87 16-02-88
GB-A- 1215184	09-12-70	None	
DD-A- 137951		None	
US-A- 2076952		None	